

INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Docket Number	Application Number		
			04-6163	10/767,546		
			Applicants Thomas J. DALEY, et al.			

Filing Date	Group Art Unit
January 29, 2004	3684

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		60/706,109	8/5/2005	Noviello			
		2007/0156754	7/5/2007	Busch et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
		WO 2001/093169 A1	12/6/2001	PCT	Waelbroeck et al.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
		Australian Examiner's Report for Application No. 2005208980, dated November 17, 2009 (2 pages).
		Australian Examiner's Report for Application No. 2005208978, dated November 26, 2009 (1 page).
		Australian Examiner's Report for Application No. 2005208981, dated December 14, 2009 (3 pages).
		USPTO Office Action for Application No. 10/767,649, August 27, 2009 (20 pages).
		USPTO Examiner Interview Summary for Application No. 10/767,699, December 23, 2009 (3 pages).
		USPTO Examiner's Answer to Appeal Brief for Application No. 10/767,699, December 24, 2009 (16 pages).
		USPTO Examiner's Answer to Appeal Brief for Application No. 10/766,965, January 20, 2010 (19 pages).
		USPTO Notice of Allowance and Fees Due and Examiner Interview Summary for Application No. 11/499,496, dated February 17, 2010 (89 pages).
		George Simon & Kathryn Trikla, "The Regulation of Specialists and Implications for the Future," Business Lawyer, Nov. 2005, pp. 217-387.
		The 'Hybrid Approach': A review of NYSE's Market Structure Proposal, Mondaq Business Briefing, NA, Dec 9, 2004.
Examiner Signature		Date Considered